DEC. 9. 2009 1:52PM

NO. 7360 P. 2

Application No.: 10/587,498

Request For Refund For Assertion Of Small Entity Status dated December 9, 2009

Docket No.: 903-196 PCT/US/RCE

Page 2

Fee Description	Current Fee Code	Date Paid	Fee Paid as Large Entity	Current Small Entity Fee	Refund Requested
Request for Continued Examination	1801	Sept. 9, 2009	810.00	405.00	405.00
Petition For Extension of Time (3 mon)	1253	Sept. 9, 2009	980.00	425.00 (555.00- 130.00)	555.00
Total Fees Paid: Total Fees Due as	•		1790.00		
Small Entity: Total Refund Requested:				830.00	960.00

A refund of \$960.00 is requested with this submission. The Commissioner is hereby authorized to credit any refund to Deposit Account No. 08-2461. Please direct any questions regarding this submission to Applicant's undersigned attorney.

Respectfully submitted,

/Anna-lisa L. Gallo, Reg. # 50,279/ Anna-lisa L. Gallo, Esq. Registration No.: 50,279 Attorney for Applicants

HOFFMANN & BARON, LLP 6900 Jericho Turnpike Syosset, New York 11791 (973) 331-1700

Electronic Pater	nt Áp _l	plication Fed	e Transm	ittal			
Application Number:		9587498					
Filing Date:	20	20-Sep-2006					
Thent date: 12/23/2009 SDIRETA1 2009 INTEFSW 00009333 082461 10587498 1253 980.00 CR 1801 810.00 CR							
Title of Invention:	Ph	Phase transformation in molecular solids					
 3/2009 SDIRETA1 00000006 082461 10587498							
C:2801 405.00 DA C:2253 425.00 DA							
First Named Inventor/Applicant Name: Ta		Tanja Bendele					
Filer: Jo		John S. Sopko/Mary Farischon					
Attorney Docket Number:	90	903-196 PCT/US					
Filed as Large Entity	·········				- · · ·		
U.S. National Stage under 35 USC 371 Fili	ng Fee	25					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:				<u> </u>			
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:					·		
Post-Allowance-and-Post-Issuance:	•		· · ·				
Extension-of-Time:							
Extension - 3 months with \$130 paid		1253	1	980	980		

02

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Bendele et al.

Examiner:

Rump, Richard M.

Application No.:

10/587,498

Group Art Unit:

1793

Confirmation No:

8290

Docket:

903-196 PCT/US/RCE

Filed:

September 20, 2006

Dated:

December 9, 2009

§371(c) date

For:

Mail Stop 16

Director of the U.S. Patent and Trademark

Office

P.O. Box 1450

Alexandria, Virginia 22313-1450

Certificate of Facsimile Transmission

I hereby certify that this correspondence is being transmitted to the Refund Panch of U.S. Patent and Trademark Office

via facsimile to 571-273-6500.

Dated.

December 9, 2009 Anna-lisa I. Gallo / Al.G. /

REQUEST FOR REFUND FOR ASSERTION OF SMALL ENTITY STATUS

Sir:

The above-referenced application was filed under 35 U.S.C. §371 on September 20, 2006. The application paper fees were incorrectly paid as a large entity. The applicant is a small entity, and such small entity status should have been claimed upon filing. A copy of an Assertion of Entitlement to Small Entity Status filed with the Patent Office on November 20, 2009 is enclosed.

Submitted herewith is an itemized statement of the fees paid on September 9, 2009 as a large entity; the correct fees payable as a small entity, and a refund due for each particular fee paid.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Bendele

Examiner:

Rump, R.M.

Serial No.:

10/587,498

Group Art Unit:

1793

Confirmation No.: 8290

Docket:

903-196 PCT/US/RCE

Filed:

September 20, 2006

Dated:

November 20, 2009

For:

PHASE

TRANSFORMATION IN

MOLECULAR SOLIDS

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

ASSERTION OF ENTITLEMENT TO SMALL ENTITY STATUS

Sir:

The above-identified U.S. patent application No. 10/587,498, meets the requirements under 35 C.F.R. §1.27 for claiming small entity status. Thus, the applicant is a small entity and the application is entitled to be treated under the small entity status in accordance with the rules

Should you have any questions, you are invited to contact the represented attorney listed below.

Respectfully submitted.

Anna-lisa L. Gallo Registration No. 50,279

HOFFMANN & BARON, LLP 6900 Jericho Turnpike Syosset, NY 11791 (973) 331-1700